

I: Life and Energy

- Lecture 1: What is life? An attempt at definition.
- Energy, heat, and work: Temperature and thermal equilibrium. The First Law. Thermodynamic states and state functions. Reversible and real processes. The Second Law and free energy. Why do living systems need energy? (B Lentz)
- Lecture 2: Solutions and chemical potential; Osmotic pressure (B Lentz).
- **Lecture 3**: Mother Nature plays dice: the Boltzmann distribution, partition functions and entropy. (B Lentz)

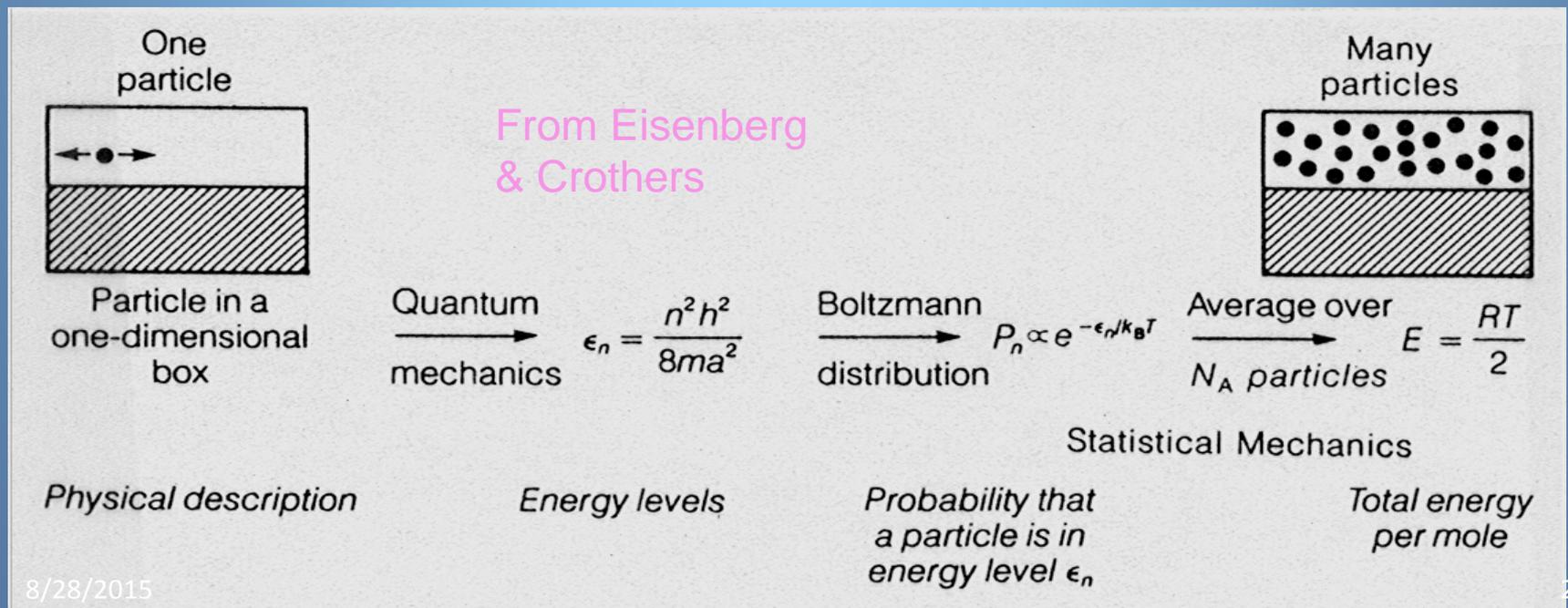
DO NOT STRESS THE MATH – PAY ATTENTION TO IDEAS!!!!

Thermodynamics: Average Properties of Large Numbers of Particles

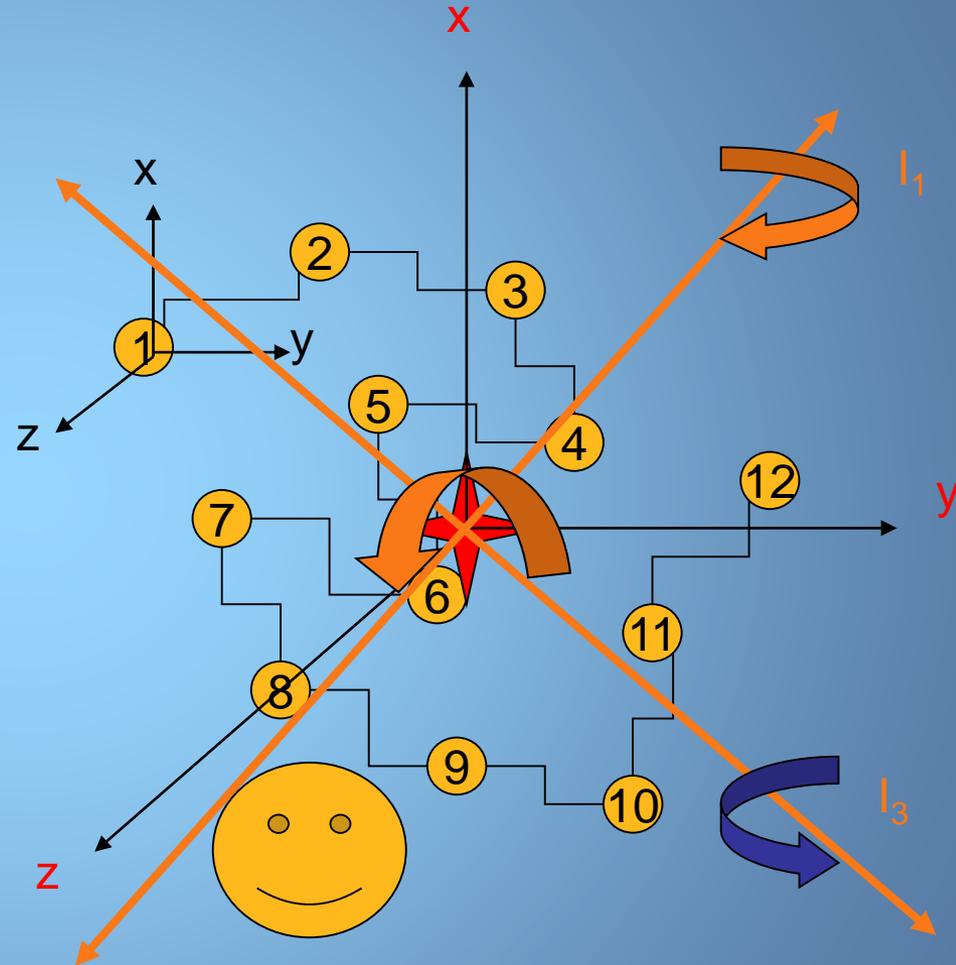
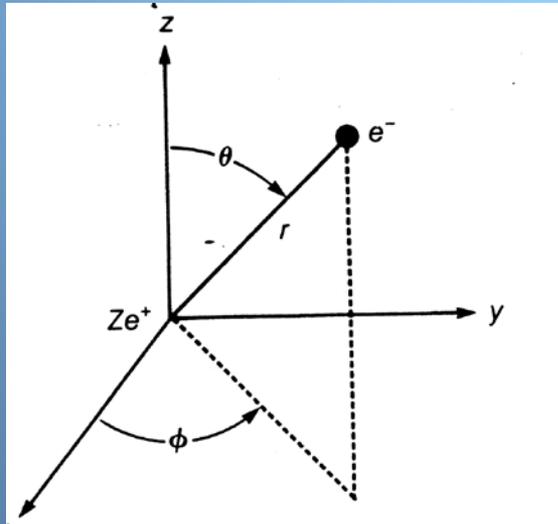
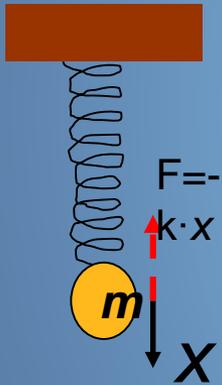
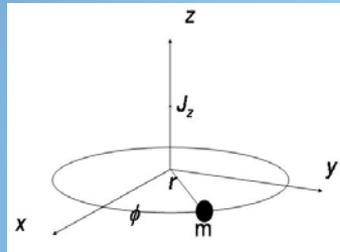
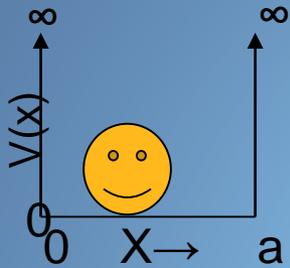
Biophysicists think about macromolecules with atomic structures. But we measure thermodynamic quantities that reflect average properties of molecules?

What do we mean when we talk about a protein “conformation”?

Statistical Mechanics is the path we take from a mechanical (quantum or classical) description of the energy and dynamics of individual molecules to a thermodynamic description of the behavior of large collections of molecules



Simple Physical Models \Rightarrow Proteins



But Proteins Are Not Rigid Bodies!!!

Ensembles

An Ensemble is a **LARGE** collection of N systems (mechanical or thermodynamic) whose properties are fixed by a common set of independent variable X, Y, Z but whose microscopic behavior differs between systems.

Look alike when observed from
the outside

Different on the inside

| | | | |
|----------------|-------|-------|-------|
| $S_{1(X,Y,Z)}$ | S_2 | S_3 | ... |
| S_j | ... | | |
| S_m | ... | | |
| S_t | ... | | S_N |

The Microcanonical Ensemble

We wish to describe the **average state** of a molecule with fixed energy (E/N) and having available to it a fixed volume (V/N). It can occupy quantum states $\Psi_1, \Psi_2, \Psi_3, \dots$.

Absurd to consider the "thermodynamics" of one molecule! Why?

So consider a system with N INDEPENDENT molecules.

Consider an ensemble of systems, each with N such molecules, energy E , and volume V . In each element there are n_1 molecules in state Ψ_1 , n_2 in state Ψ_2 , etc, with the distribution of molecules over quantum states being $\{n_i\}_j$ in the j^{th} element of the ensemble. **A Configuration.**

N = # of elements

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| | | | |
|----------------------|----------------------|----------------------|----------------------|
| $N, V, E, \{n_i\}_1$ | $N, V, E, \{n_i\}_2$ | $N, V, E, \{n_i\}_3$ | ... |
| $N, V, E, \{n_i\}_j$ | ... | | |
| $N, V, E, \{n_i\}_j$ | ... | | |
| $N, V, E, \{n_i\}_j$ | ... | | $N, V, E, \{n_i\}_j$ |

The Microcanonical Ensemble -2-

Each element in this ensemble has the same energy and volume but a unique microscopic description resulting from its particular distribution of independent and **distinct molecules** over **distinct quantum states**.

Each ensemble **element** represents a **unique quantum state** of the system, but all with the same energy – MUCH DEGENERACY!

Here is where Mother Nature shoots Crap!



There are $\Omega(\{n_i\})$ elements having the distribution of molecules over quantum states designated as $\{n_i\}_j$. $\Omega(\{n_i\}_j)$ is the combinatorial factor for the number of ways to put N distinct balls into i distinct boxes with n_1 in box 1, n_2 in box 2, etc. to produce Ω_j elements having the configuration $\{n_i\}_j$.

$$\Omega(\{n_i\}) = \binom{N}{n_1, n_2, \dots, n_i} = N! \prod_i \frac{g_i}{n_i!}$$

g_i is the degeneracy of the i^{th} molecular quantum state

$$\sum_j \Omega(\{n_i\}_j) = \mathbf{N}$$

Fundamental Postulates

We can obtain the average value of some mechanical system variable, M , in the system of interest if we make two assumptions:

The Basics Postulates of Statistical Mechanics

- All allowed distinct quantum states of a system are equally probable.
- Any system followed over time visits all quantum states with the same probability with which they occur in the ensemble: *Ergodic Condition*

Time-averaged properties equal ensemble-averaged properties as t and $N \rightarrow \infty$.

Property in a particular distribution

$$\langle M \rangle = \frac{\sum_j \Omega(\{n_i\}_j) \langle M \rangle_j}{\sum_j \Omega(\{n_i\}_j)}$$

$$= \frac{\sum_j \Omega(\{n_i\}_j) \langle M \rangle_j}{\mathcal{N}}$$

$$\text{where } \langle M \rangle_j = \frac{\sum_i n_{i,j} M_i}{\sum_i n_{i,j}} = \frac{\sum_i n_{i,j} M_i}{N}$$

As $N \rightarrow \infty$, one term in this sum dominates. Our goal is to determine the distribution that corresponds to this maximum term. 7

The Most Probable Distribution Dominates

Boltzmann in Austria and Maxwell in England were concerned with the average properties of dilute gases in the mid 19th Century. Maxwell formulated his understanding in terms of **random or stochastic motions** of molecules and predicted Boyle's Law. Boltzmann used an **ensemble or probabilistic approach** and postulated that one distribution (*i.e.*, set $\{n_i\}_j$) would dominate and determine the properties if the number of molecules (N) was large.

Boltzmann set about finding the set $\{n_i\}_j$ that would maximize $\Omega(\{n_i\})$. At the same time, he had to insist that the system maintain its defining independent variables, in this case, N and E .

The problem is to maximize

$$\Omega(\{n_i\}) = N! \prod_i \frac{g_i}{n_i!}$$

Subject to the constraints that

$$E = \sum_i n_i \epsilon_i; \quad N = \sum_i n_i$$

How to maximize the combinatorial distribution that involved factorials?

The Boltzmann Distribution simple

$$N! \approx N^N e^{-N} \sqrt{2\pi N}$$

$$\ln N! \approx N \ln N - N + \frac{1}{2} \ln(2\pi N)$$

Stirling's equation for $N!$

Maximize:

$$\ln \Omega(\{n_i\}) \approx N \ln N + \sum_i n_i (\ln g_i - \ln n_i) = - \sum_i P_i \ln P_i$$

Use the method of LaGrange to satisfy constraints.

$$B = N \ln N + \sum_i n_i (\ln g_i - \ln n_i) + \alpha \left(N - \sum_i n_i \right) + \beta \left(E - \sum_i n_i \varepsilon_i \right)$$

$$\frac{\partial B}{\partial n_i} = 0$$

α and β are Lagrange multipliers.

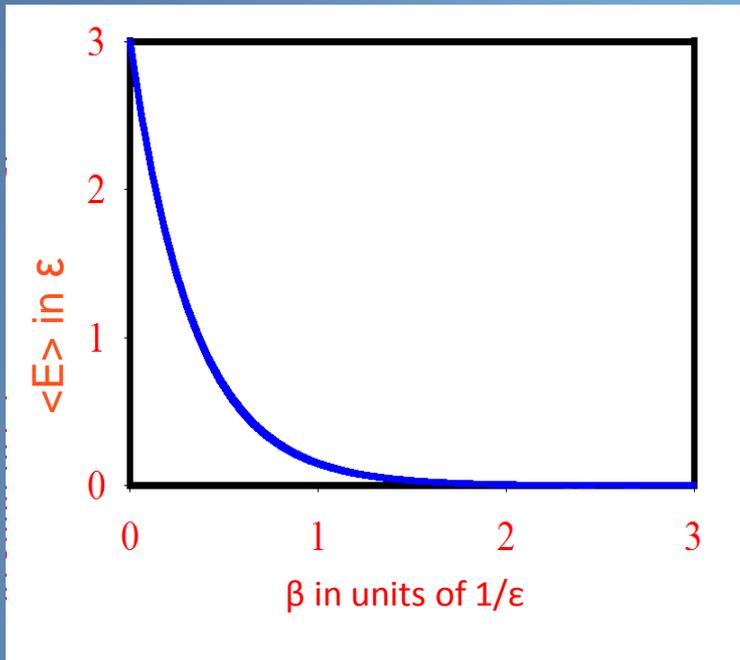
$$n_i = g_i \exp(-\alpha - \beta \varepsilon_i)$$

$$N = \sum_i n_i \Rightarrow n_i = N \frac{g_i \exp(-\beta \varepsilon_i)}{\sum_i g_i \exp(-\beta \varepsilon_i)}$$

$$P_i = \frac{n_i}{N} = \frac{g_i \exp(-\beta \varepsilon_i)}{\sum_i g_i \exp(-\beta \varepsilon_i)} = \frac{g_i \exp(-\beta \varepsilon_i)}{q}$$

P_i is the probability of molecules in the system being in the i^{th} quantum state with energy ε_i . This probability is called the *Boltzmann distribution*.

The Meaning of β



To connect this probability distribution to thermodynamics, we must assign a value to β .

It is easy to show that the average energy of any system increases as $\beta \rightarrow 0$. The same thing occurs when $T \rightarrow \infty$.

Thus, Boltzmann chose $\beta = (1/k_B T)$, with the proportionality constant chosen to set the size of a degree of temperature and so as to give a rational definition to entropy.

$$k_B = 1.99 \text{ cal/mol}^\circ \text{ K}$$

$k_B T \approx 600 \text{ cal/mol}$ at room to physiological temperature.

Note that α drops out from normalization for N fixed. If we do not normalize (N not fixed), $\alpha = -\mu\beta$, where μ is the chemical potential of the molecule.

The Molecular Partition Function

The molecular partition function:

$$P_i = \frac{n_i}{N} = \frac{g_i \exp(-\beta \epsilon_i)}{\sum_i g_i \exp(-\beta \epsilon_i)} = \frac{g_i \exp(-\beta \epsilon_i)}{q}$$

$$q = \sum_i g_i \exp(-\beta \epsilon_i)$$

For simplicity, we assume $g_i = 1$ for all i .

q = sum of relative probabilities of each quantum state contributing to the average energy of the molecule. More states \Rightarrow larger q .

Connect partition function with thermodynamics:

$$\frac{\partial \ln q}{\partial \beta} = \frac{1}{q} \frac{\partial q}{\partial \beta} = \frac{-\sum_i \epsilon_i \exp(-\beta \epsilon_i)}{\sum_i \exp(-\beta \epsilon_i)} = -\langle \epsilon_i \rangle = -\frac{E}{N}$$

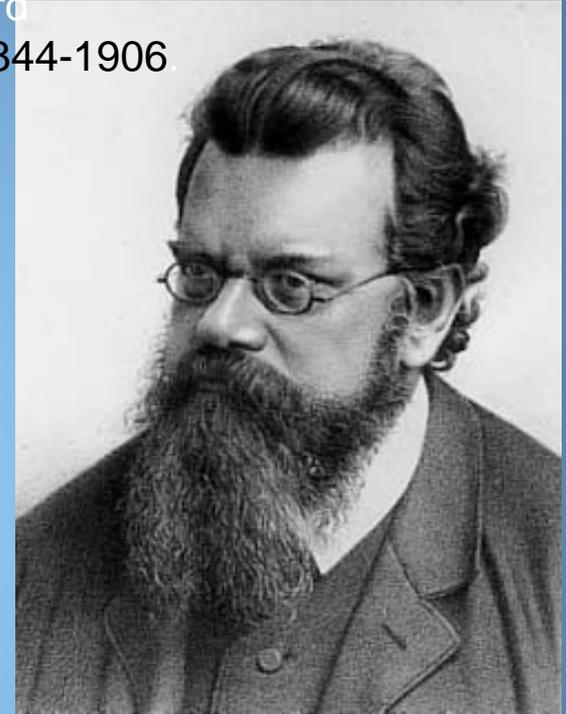
$$A = -N k_B T \ln q$$

$$E = -N k_B \frac{\partial \ln q}{\partial (1/T)}$$

But Recall: $E = \frac{\partial (A/T)}{\partial (1/T)}$

Statistical Definition of Entropy

Ludwig Edward Boltzmann; 1844-1906



$$P_i = \frac{\exp(-\beta\varepsilon_i)}{\sum_i \exp(-\beta\varepsilon_i)} = \frac{\exp(-\beta\varepsilon_i)}{q}; \varepsilon_i = -\frac{1}{\beta} \ln(P_i q)$$

$$E / N = \frac{\sum_i \varepsilon_i \exp(-\beta\varepsilon_i)}{\sum_i \exp(-\beta\varepsilon_i)} = \sum_i \varepsilon_i P_i = \frac{1}{\beta} \sum_i (-\ln P_i - \ln q) P_i$$

$$E / N = -k_B T \sum_i P_i \ln P_i - k_B T \ln q \sum_i P_i$$

$$\frac{E}{T} = -Nk_B \sum_i P_i \ln P_i - Nk_B \ln q = \underbrace{-Nk_B \sum_i P_i \ln P_i}_{=S} + \frac{A}{T}$$

$$S = -Nk_B \sum_i P_i \ln P_i = Nk_B \ln \Omega$$

This is Boltzmann's great achievement: a statistical interpretation of entropy!

Epitaph:
S = k ln W

Meaning of Partition Function

A Weighted Sum over All Quantum States Contributing to the Properties of the Molecule.

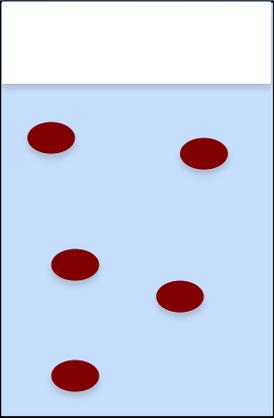
Internal Energy is a weighted sum over over the energies of all quantum states contributing to the properties of the system.

Two Parts: 1] From ground states: independent of T 2] From excited states: varies with T ("thermal")

Entropy is a sum over the log of the probabilities of occupancy of each of the quantum states contributing to the system. TS is that portion of the internal energy required to maintain the maximal distribution over quantum states.

Free energy is that portion of the internal energy available to do work while S is maintained or increased.

Different Independent Variables \Rightarrow Different Partition Functions

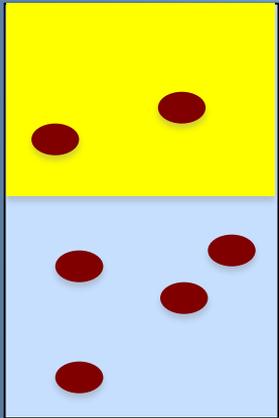


Common lab conditions: fixed N , V , T

Closed system in thermal equilibrium with environment

Canonical Ensemble \Rightarrow **Canonical Partition Function**,
 Q in quantum derivation; Z in classical derivation.

Described in extra slides.

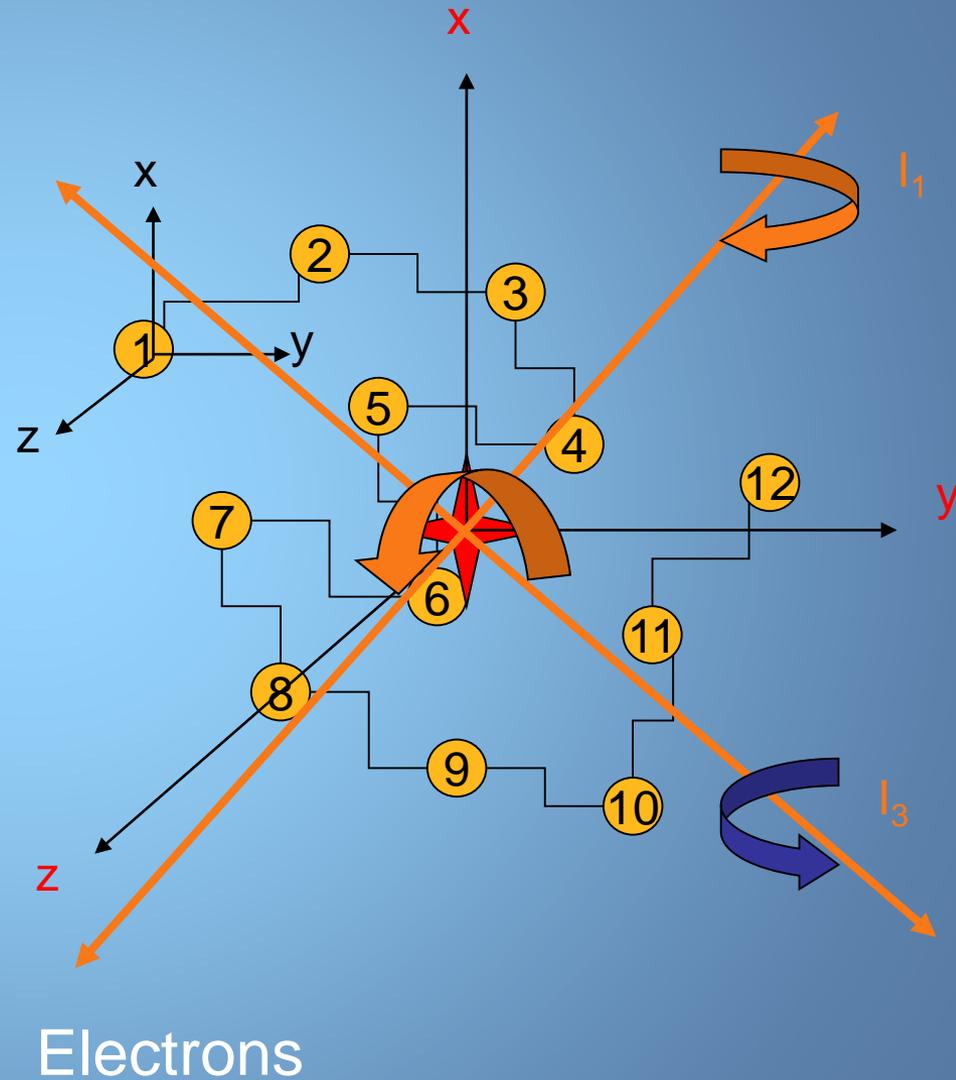
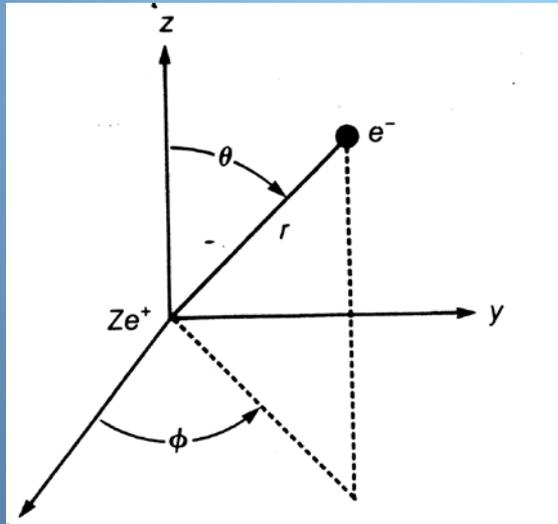
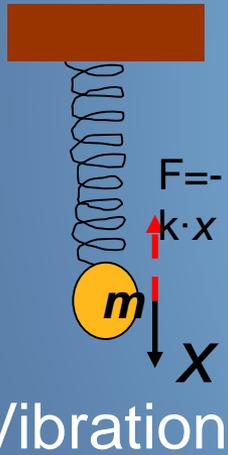
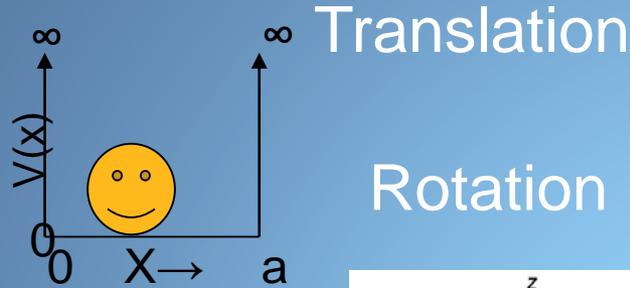


Another common situation: Open systems in thermal and particle equilibrium. Chemical Equilibria, Phase Equilibria, Ligand Binding.

Independent variables: T, P, μ_i ; N_i determined by condition of equilibrium.

Grand Canonical Ensemble \Rightarrow Grand Canonical Partition
Function = Ξ . *Used later.*

Simple Physical Models \Rightarrow Proteins



Now Let's Build a Protein!

Recall that we showed that the mechanics of a macromolecule could be described first by separating the motions of electrons and nuclei (Born Approximation) and then by separating the nuclear motions into **independent motions**: 3 translations of the center of mass, 3 rotations about principle axes, and $3N-6$ internal harmonic oscillations.

The **total energy** of the macromolecule is then the sum of the energies associated with electronic and $3N$ independent nuclear quantum states:

$$\mathcal{E}_{i,j,k,l} = \mathcal{E}_{\text{elec},i} + \mathcal{E}_{\text{trans},j} + \mathcal{E}_{\text{rot},l} + \mathcal{E}_{\text{vib},k}$$

Properties of exponential function \Leftrightarrow *sum of exponentials of a sum of independent terms = product over sums of exponential for each term* – key thing is that the indices are independent.

$$q = \sum_{i,j,k,l} e^{-\frac{(\mathcal{E}_{\text{elec},i} + \mathcal{E}_{\text{trans},j} + \mathcal{E}_{\text{rot},l} + \mathcal{E}_{\text{vib},k})}{k_B T}} = \left(\sum_i e^{-\frac{\mathcal{E}_{\text{elec},i}}{k_B T}} \right) \left(\sum_j e^{-\frac{\mathcal{E}_{\text{trans},j}}{k_B T}} \right) \left(\sum_l e^{-\frac{\mathcal{E}_{\text{rot},l}}{k_B T}} \right) \left(\sum_k e^{-\frac{\mathcal{E}_{\text{vib},k}}{k_B T}} \right)$$

Voila!!

$$q = q_{elec} q_{trans} q_{rot} q_{vib}$$

We have broken down the daunting problem of describing the average mechanical behavior of a macromolecule to that of describing the quantum behavior of its **electronic distribution** (LCAO-MO or other approximate methods solve this) plus the average behavior of a **particle in a box**, a **rigid rotator**, and a set of independent **harmonic oscillators**!

Free energy (**enthalpy and entropy**) of a protein can be described in terms of the **kinetic and potential energy** of all these motions!

Protein “conformation” defined.

Translational Partition Function simple

Energy levels for a particle in a 1-D box:

$$E_n = \frac{n^2 h^2}{8ma^2}, \quad n = 1, 2, 3, \dots$$

$$q_{trans,x} = \sum_n \exp\left(-\frac{n^2 h^2}{8ma^2} / k_B T\right) \quad \text{Because } \exp(-E_n/k_B T) \text{ always } \lll 1 \Rightarrow$$

$$q \approx \int_0^\infty dn \exp\left(-\frac{n^2 h^2}{8ma^2 k_B T}\right) = \frac{1}{2} \left(\frac{8ma^2 k_B T \pi}{h^2} \right)^{\frac{1}{2}} = \frac{a}{\Lambda}; \quad \Lambda = \left(\frac{h^2}{2\pi m k_B T} \right)^{\frac{1}{2}} = \text{deBroglie wavelength}$$

$$q_{trans} = q_{trans,x} q_{trans,y} q_{trans,z} = \frac{abc}{\Lambda^3} \quad \Rightarrow$$

$$q_{trans} = \frac{V}{\Lambda^3}$$

$$A_{trans} = -k_B T \ln q_{trans} = -k_B T \ln V + 3k_B T \ln \Lambda$$

$$P = -\left(\frac{\partial A}{\partial V}\right)_{N,T} = \frac{k_B T}{V}$$

Ideal Gas Law

Equipartition of Energy

Recall that when we made the connection between the partition function and thermodynamics, we noted that:

$$E = -Nk_B \frac{\partial \ln q}{\partial (1/T)}$$

$$E_{trans} = -k_B \frac{\partial(\ln V - \ln \Lambda^3)}{\partial (1/T)} = 3k_B \frac{\partial \ln \Lambda}{\partial (1/T)} = 3k_B \frac{\partial \left(\frac{1}{2} \ln \frac{1}{T} \right)}{\partial (1/T)} = \frac{3k_B T}{2}$$

This holds because the energy levels of the particle in a box are very closely spaced, *i.e.*, it holds in the *classical limit* in which all quantum states are occupied with high probability. It also holds for vibration and rotation whenever ΔE (between states) $\ll k_B T$.

The general result is called the thermal energy ***Equipartition Theorem: $k_B T/2$ per degree of freedom in kinetic energy.***

A Side Track: the Canonical Partition Function for An Ideal Mixture

Recall we assumed independent molecules to obtain the molecular partition function.

Recall also definition of **Ideal Mixture**: 1] Molecules do not interact.
2] Molecules all of same size.

So, we can now treat an Ideal Mixture!

If q describes the number of states contributing to the behavior of a single molecule, the **Canonical Partition Function** (Q) describes the number of states contributing to the behavior of N independent, *distinguishable* molecules:

$$Q = q^N$$

Distinguishable *versus* Indistinguishable Molecules

If the molecules are *indistinguishable*, we have over-counted the number of configurations of the system in obtaining Q .



These two configurations are physically the same if the molecules (numbered balls) are indistinguishable.

When the number of states \gg the number of molecules (*i.e.*, $<$ one molecule/state; *classical statistics*), we can correct by dividing by the number of ways of assigning N molecules to N distinguishable states:

$$Q = \frac{q^N}{N!}$$

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For different types of molecules:
$$Q = \frac{q_A^{N_A}}{N_A!} \frac{q_B^{N_B}}{N_B!} \dots \frac{q_X^{N_X}}{N_X!}$$

Ideal Mixture (Solution): Translational Partition Function

$$A = -k_B T \ln Q =$$

$$-k_B T N_A \left(\ln q_{A,int} - \ln \Lambda^3 + 1 \right) + k_B T N_A \left(\ln \frac{N_A}{V} \right)$$

$$-k_B T N_B \left(\ln q_{B,int} - \ln \Lambda^3 + 1 \right) + k_B T N_B \left(\ln \frac{N_B}{V} \right)$$

$$\ln \frac{N_B}{V} = \ln \frac{N_B}{v(N_A + N_B)} = \ln X_B - \ln v$$

$$\text{So, } A = N_A \mu_A^0 + k_B T N_A \ln X_A + N_B \mu_B^0 + k_B T N_B \ln X_B$$

v = volume per mol of A = volume per mol of B

From this, you can derive the Ideal Mixture Law:

$$\mu_A = \mu_A^0 + k_B T \ln X_A; \quad \mu_B = \mu_B^0 + k_B T \ln X_B$$

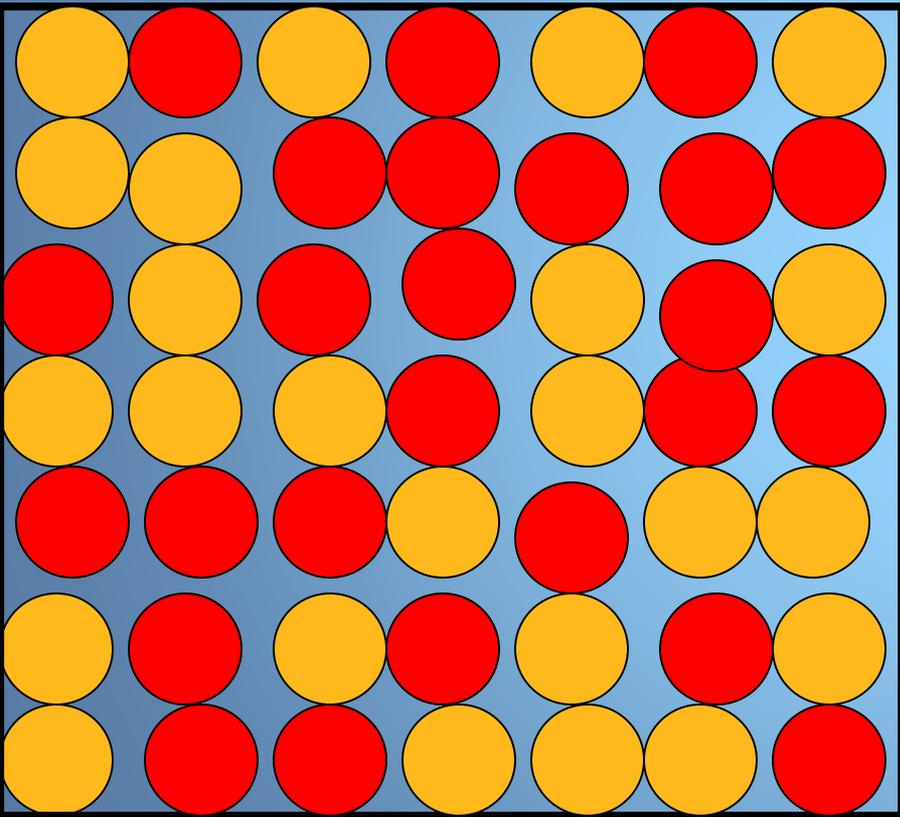
$$X_A \rightarrow 0 \Rightarrow \mu_A \rightarrow \infty$$

This is the familiar state equation for an ideal mixture/ solution. This gives meaning to the quantities μ_A^0 and μ_B^0 . For an ideal mixture, μ^0 reflects the behavior of pure A. For ideal solution, it reflects A surrounded by solvent.

$$\mu_A^0 = -k_B T \ln \left(\frac{e \cdot v \cdot q_{A,int}}{\Lambda_A^3} \right)$$

Another View of Ideal Mixture

$$Q = \frac{q_A^{N_A} q_B^{N_B}}{N_A! N_B!} = \frac{q_A^{N_A} q_B^{N_B}}{N!} \square \frac{N!}{N_A! N_B!}$$



If we ignore differences between A and B,

$$Q = \frac{q_A^{N_A} q_B^{N_B}}{N!}$$

But then, we must account for differences between molecules by counting all the ways of arranging N_A and N_B balls in boxes, i.e., by multiplying by $N!/N_A!N_B!$

This combinatorial gives us the $\ln X_A$ and $\ln X_B$ terms of the free energy, i.e., the **entropy of mixing!**

Non-Independent Particles!

$$Q = \sum_{j=1}^{\mathcal{M}} \exp \left\{ - \left(\frac{E_j}{k_B T} \right) \right\}$$

Derivation of Q does not require the assumption of independence. Q can still be defined for non-independent (*i.e.*, correlated or interacting) particles.

Long range correlations $\Rightarrow \Psi_j$ involves many or all particles.

E_j may be very widely spaced $\Rightarrow Q \rightarrow \exp\{E_1/k_B T\}$

E_j may be very closely spaced $\Rightarrow Q >$ or $< Q_{\text{independent}}$

Very short range correlations \Rightarrow local $\Psi_j = \Psi_a \Psi_b \Psi_c \dots$, where each Ψ_i involves only local groups of particles.

Key Problem: Counting configurations depends on all particles, which determine Ψ_k and E_k .

Whatever be the case, if we can find some way to count quantum states and weight them by the Boltzmann probability $\Rightarrow Q$

Back to Our Protein: The Rotational Partition Function

$$E_{rot}^1 = m^2 k_B \Theta_{rot}^1; \quad m = 0, \pm 1, \pm 2, \dots; \quad \Theta_{rot}^1 = \frac{h^2}{8\pi^2 I_1 k_B}$$

Kinetic
and/or
potential
energy?

$$q_{rot}^1 = \sum_{m=-\infty}^{\infty} \exp\left(-\frac{m^2 \Theta_{rot}^1}{T}\right) = \pi^{1/2} \left(\frac{T}{\Theta_{rot}^1}\right)^{1/2}$$

$$q_{1,2,3}^{rot} = \frac{\sqrt{\pi}}{\sigma} \left(\frac{T^3}{\Theta_1^{rot} \Theta_2^{rot} \Theta_3^{rot}} \right)$$

Divide by $\pi\sigma$ because of degeneracy of rotations about 3 axes.

$$E_{rot}^1 = -k_B \frac{\partial \left(\ln \pi^{1/2} \left(\frac{T}{\Theta_{rot}^1}\right)^{1/2} \right)}{\partial(1/T)} = k_B \frac{\partial \ln T^{1/2}}{\partial(1/T)} = \frac{k_B T}{2}$$

In the absence of a potential energy contribution, the *Equipartition Theorem* again gives $\frac{1}{2}k_B T$ per kinetic energy degree of freedom.

Vibrational Partition Function

Harmonic potential $\Rightarrow E_{n,i} = (n+1/2)h\nu_i$

$$q_{vib} = \prod_{i=1}^{3N-6} q_{vib,i}$$

$$q_{vib,i} = \sum_{n=0}^{\infty} \exp\left[-\frac{(n + \frac{1}{2})h\nu_i}{k_B T}\right] = \frac{\exp(-h\nu_i / 2k_B T)}{1 - \exp(-h\nu_i / k_B T)}$$

When $k_B T \gg h\nu$ (in the so-called *classical limit*) \Leftrightarrow

$$q_{vib,i} \approx \int_0^{\infty} dn \exp\left[-\frac{nh\nu_i}{k_B T}\right] = \frac{k_B T}{h\nu_i}$$

Note $h \approx 2.86 \text{ cal}\cdot\text{mol}^{-1}\cdot\text{cm}$ so many vibrations are not in the classical limit.

$$E_{vib,i}^{classical} = -k_B \frac{\partial \ln q_{vib,i}}{\partial(1/T)} = -k_B \frac{\partial \ln T}{\partial(1/T)} = -k_B T$$

Kinetic and potential energy!

In the Equipartition Theorem limit, we see $\frac{1}{2}k_B T$ from **each kinetic energy and each potential energy** degree of freedom.

Electronic Molecular Partition Function

$$E_n = -\frac{1}{n^2} \frac{e^2}{2a_0}, n = 1, 2, 3, \dots$$

$$E_2 - E_1 = \frac{3e^2}{8a_0} = \frac{3 \times 332 \frac{\text{kcal/mol}}{e^2/\text{\AA}}}{8 \times 0.529 \text{\AA}} \approx 200 \text{ kcal/mol} \square k_B T$$

Only the ground state is occupied at room temperature.

$$q_{elec} = \sum_n \omega_n \exp\left(-\frac{1}{n^2} \frac{e^2}{2a_0} / k_B T\right) \square \omega_0 \exp\left(\frac{\epsilon_{elec}^0}{k_B T}\right)$$

$$A_{elec} = -k_B T \ln \omega_0 + \epsilon_{elec}^0; \quad E_{elec} = \epsilon_{elec}^0$$

Protein Molecular Partition Function

$$q = q_{elec} q_{trans} q_{rot} q_{vib}$$

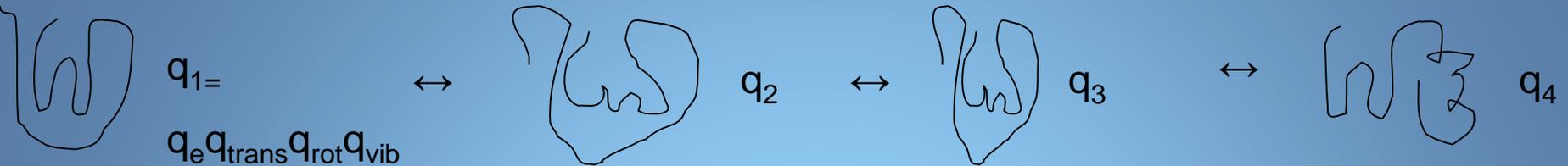
We have described the average mechanical behavior of a rigid body macromolecule in terms of the quantum behavior of its **electronic distribution** (LCAO-MO or other approximate methods solve this) plus the average behavior of a **particle in a box**, a **rigid rotator**, and a set of independent **harmonic oscillators**.

Unfortunately, proteins are not generally rigid bodies!

What now?



Protein Conformational States



Which one is most likely?

But what if $q_1 \sim q_2 \sim q_3 \sim q_4$?

Largest q !

Multiple Minima Problem

Multiple conformation states of locally minimum free energy!

Number of minima = $O(p^{\text{number of peptide bonds}})$ ($p > 1$)

Each conformation must contribute to the partition function, but HOW MUCH?

Recall molecular partition function is sum over all possible quantum states of the molecule.

$$q = \sum_j q_j$$

Protein Partition Function

A protein exists in a multitude or “ensemble” of possible rigid body conformations, such that there are n_1 of structure 1, n_2 of structure 2, ..., n_j of structure j , In a collection of N proteins, how many are in the j^{th} conformation?

Assume protein configurations do not interact – i.e. an ideal mixture at N, T, V .

$$Q = \frac{N!}{\prod_j n_j!} \prod_j q_j^{n_j}$$

Canonical Partition Function

But how many copies of the j^{th} microstructure (i.e., j^{th} rigid body structure) are present? We do not know $\{n_j\}$!

We must find the distribution $\{n_j\}$ that maximizes the number of ways of distributing proteins over their microstates!

This is something like the problem we faced of finding the distribution of molecular quantum states that maximized the microcanonical or molecular partition function.

To do this we need a new type of partition function that does not fix $\{n_j\}$, i.e., one in which each element of the corresponding ensemble does not have its composition fixed. This is called a **Grand Canonical Ensemble and Partition Function**.

The Statistical Nature of a Protein

The probability of observing any conformation ($P_j = n_j/N$) must be related to the value of its molecular partition function, which would be $P_j = q_j/Q$ if $\{n_j\}$ were fixed. But we must find the set $\{n_j\}$ that maximizes the entropy of mixing different microstructural states at the same time that it allows for the probability of observing each microstate being described by the Boltzmann distribution. The **grand canonical partition function** (Ξ) does this for us.

$$\Xi = q^N = \left(\sum_j q_j \right)^{\sum_j n_j} = \sum_{\{n_j\}} \frac{N!}{\prod_j n_j!} \prod_j (q_j)^{n_j}$$

$$N = \sum_j n_j; E = \sum_j n_j E_j; V; \{\mu_j\}$$

To simplify a slightly complex treatment, we get the the probability of observing each microstate is related to its chemical potential, μ_j .

$$n_i = P_i N = \frac{e^{-\mu_i^o / k_B T}}{\sum_j e^{-\mu_j^o / k_B T}} = \frac{e^{-\mu_i^o / k_B T}}{\Phi}$$

Φ is termed the molecular grand partition function.

$$q_j = e^{-\beta \mu_j}$$

Statistical Weight of microstate j .



THE END

Study Questions

You should be able to answer easily the following questions. If you can't, please go over these with your Study Group or TA to be sure that you understand how to answer them.

1. From the perspective of Boltzmann, what is entropy?

2. From the Ideal Mixture Law, is there any solute that is completely insoluble in any solvent? Explain or give example.

3. Binding of a ligand to a protein involves moving it from a very large box (the solution) to a very small one (the binding site). If we assume that only the translational term of the ligand molecular partition function changes during this process, is this a favorable process? Explain. So, what causes a ligand to bind?

4. A particular protein P is known to have 4 conformations for which $q_1 > q_2 > q_3 \gg q_4$. Its distribution over conformational states is described by $\{n_i\}$. Which conformation is most likely? Will this conformation dominate the thermodynamic properties of the protein? Why or why not? Conformation 4 is known to bind ligand L to increase its molecular partition function. Will the complex PL every be observed? Why or why not?

Useful (?) Extra Slides

The following slides expand on the Canonical Partition Function that we did not have time to treat adequately in lecture.

Change Independent Variables

Molecular Partition Function: Molecule with energy E in a volume V . We derived this for a set of N identical and independent molecules.

We most often have a collection of N molecules (closed system) in a volume V at temperature T (*i.e.*, thermal equilibrium). So we must change independent variables \Rightarrow new Partition Function!

The Canonical Partition Function

Canonical Ensemble: each element is a *thermodynamic system* with fixed T , N , and V but different energy E_k . All elements in thermal equilibrium. As for the microcanonical ensemble, all elements are independent.

We make no assumption about the distribution of molecules between their quantum states or about interactions between molecules.

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| | | | |
|--------------------|--------------------|--------------------|--------------------------------|
| N, V, T E_1 | N, V, T E_2 | N, V, T E_3 | ... |
| N, V, T E_k | ... | | |
| N, V, T E_m | ... | | |
| N, V, T E_p | ... | | N, V, T $E_{\mathcal{Q}}$ |

The Canonical Boltzmann Distribution in E_j

What is the most probable configuration consistent with the constraint that the thermodynamic energy (*i.e.*, the average energy $\langle E \rangle$) is fixed?

Just as we did for the microcanonical partition function, we maximize $\Omega(\{m_j\})$ with respect to $\{m_j\}$ subject to $\sum_k m_k = \mathcal{N}$ (the total number of elements, $\rightarrow \infty$) and $\sum_k E_k m_k = \mathcal{N} \langle E \rangle$ (the total energy of the ensemble).

$$P_j = \frac{\exp(-\frac{E_j}{k_B T})}{Q}; \text{ where } Q = \sum_i \exp(-\frac{E_i}{k_B T})$$

$$A - A(0) = -k_B T \ln Q$$

$$U - U(0) = \langle E \rangle = \frac{\partial(A/T)}{\partial(1/T)} = -\frac{\partial \ln Q}{\partial(1/k_B T)}$$

$$S - S(0) = -\frac{\partial A}{\partial T} = -k_B \ln \Omega$$

We make the same identifications with thermodynamic functions.

Special Case: Independent Molecules

$$Q = \sum_{k=1}^{\mathcal{K}} \exp \left\{ - \left(\frac{E_k}{k_B T} \right) \right\}$$

$$= \sum_{k=1}^{\mathcal{K}} \exp \left\{ - \frac{\sum_i n_{i,k} \epsilon_{i,k}}{k_B T} \right\} = \sum_{k=1}^{\mathcal{K}} \exp \left\{ - \frac{\sum_{l=1}^N \epsilon_{l,k}}{k_B T} \right\}$$

1] The energy E_k of an ensemble element comes about by summing over the molecular quantum states (index i) of the N molecules in the k^{th} element, where $\{n_{i,k}\}$ is distribution of molecules over molecular quantum states. This sum can also be written as the sum over all molecules (index l).

2] For $\mathcal{K} \rightarrow \infty$, all possible quantum states are represented, and **we assume we can replace the infinite sum over k by an infinite sum over quantum states i** . At the same time, replace exponential of sum by product of exponentials.

$$Q = \sum_{i=1}^{\infty} \prod_{l=1}^N \exp \left\{ - \frac{\epsilon_{l,i}}{k_B T} \right\}$$

3] Therefore, we have a *sum of products of exponentials of independent terms*. We know that this is *the product over sums of exponential for each term*.

$$Q = \prod_{l=1}^N \sum_{i=1}^{\infty} \exp \left\{ - \frac{\epsilon_{l,i}}{k_B T} \right\} = \prod_{l=1}^N q_l$$